



JOHN THOMAS FINANCIAL

JOHN BABIKIAN
THE REEF ATLANTIS
NASSAU
BAHAMAS

FINANCIAL ADVISOR:
THOMAS BELESIS
14 WALL STREET 23RD FL • NEW YORK NY 10005
(212) 299-7800
Branch Code: HM03



PAGE 1 of 4

JOHN BABIKIAN	ACCOUNT NUMBER 5752
INVESTMENT ACCOUNT SUMMARY	Statement Period: 07/01/11 to 08/31/11

ASSET SUMMARY	This Month	Prior Month
Cash or Cash Equivalents	\$0.00	\$0.12
Equities	953,333.55	0.00
Total Invested Assets	953,333.55	0.12
Less Debit Balance	(99.88)	0.00
Total Account Value	\$953,233.67	\$0.12
Total Combined Value	\$953,233.67	\$0.12

CASH ACTIVITY	This Period
Opening Balance	\$0.00
Net Money Market Activity	0.12
Net Other Bookkeeping	(100.00)
Ending Balance	\$(99.88)

EARNINGS SUMMARY	This Period	Year-to-Date
Money Fund Earnings	\$0.00	\$0.14
Total Income	\$0.00	\$0.14

Introduced through Sterne Agee Clearing, Inc. Account carried by Sterne, Agee & Leach Inc.

SEC-SterneAgee-E-0000013



JOHN THOMAS FINANCIAL

JOHN BABIKIAN

INVESTMENT ACCOUNT



PAGE 3 of 4

ACCOUNT NUMBER 5752**Statement Period: 07/01/11 to 08/31/11****PORTFOLIO HOLDINGS - CASH AND CASH EQUIVALENTS**

Amount	Description	Yield
(99.88)	Cash Account Balance	
Total Cash and Cash Equivalents		(99.88)
Percentage of Total Invested Assets		(0.01)%

PORTFOLIO HOLDINGS - EQUITIES**Common Stocks**

Total Shares	Description	Symbol	Price	Current Value	Est. Annual Income	Shares Purchased	Unit Cost	Cost Basis	Unrealized Gain/Loss
966,667	AMERICA WEST RES INC NEW	AWSR	0.65	628,333.55		966,667	Unavailable	Unavailable	Unavailable
500,000	AMERICA WEST RES INC NEW RESTRICTED		0.65	325,000.00		500,000	Unavailable	Unavailable	Unavailable
Total Common Stocks				953,333.55	Unavailable			Unavailable	Unavailable
Percentage of Total Equities				100.00%					
Percentage of Total Invested Assets				100.00%					

SEC-SterneAgee-E-0000015



JOHN THOMAS FINANCIAL

JOHN BABIKIAN

INVESTMENT ACCOUNT



PAGE 4 of 4

ACCOUNT NUMBER 5752

Statement Period: 07/01/11 to 08/31/11

MONEY MARKET ACTIVITY

Date	Transaction	Quantity	Description	Amount
08/15/11	SALE		PRIME CASH TRUST	0.12
Total Money Market Credits				0.12

OTHER BOOKKEEPING

Date	Transaction	Quantity	Description	Amount
08/09/11	RECEIVED	1,466,667	AMERICA WEST RES INC NEW RESTRICTED	
08/12/11	CHARGE		RESTRICTED SECURITY CLEJ	(100.00)
08/16/11	EXCHANGE	966,667	AMERICA WEST RES INC NEW	
08/16/11	EXCHANGE	(966,667)	AMERICA WEST RES INC NEW RESTRICTED	
Total Other Bookkeeping Debits				(100.00)

End of Statement

SEC-SterneAgee-E-0000016